

1 **HVAC systems operation control based on indirect occupant-centric method for ensuring safety conditions and**
2 **reducing energy use in public buildings after Covid-19**

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13
14 **Abstract**

15 A stronger interest in energy independence from unreliable suppliers, together with an increasing awareness towards
16 climate changes and possible consequences, are affecting and accelerating the so-called clean energy transition. Such a
17 transition envisages several actions, and one of them is the need to improve the energy efficiency of buildings – which
18 are one of the most energy-intensive sectors – leveraging on advanced energy monitoring systems. It is however well-
19 established that strategies for energy efficiency should not jeopardize occupants' health and quality of life. Therefore,
20 energy management systems should be ideally designed both to guarantee the required health conditions and acceptable
21 comfort level, and at the same time to minimize energy wastes. A classic example is represented by ventilation systems,
22 which especially in the post-pandemic period, are often left in full operation also when buildings are empty or with low
23 occupancy.

24 Considering the topic and the available literature, this paper provides a multi-objective optimization approach to control
25 HVAC systems to ensure Indoor Air Quality (IAQ) and healthy conditions by controlling energy use. The IAQ is guaranteed
26 through the definition of an absolute threshold value of CO₂ concentration, while the minimization of the infection risk is
27 ensured through the development of a relationship between this and the increase of CO₂ concentration over time. In this
28 way, HVAC systems, and in particular ventilation rate, can be tailored around the actual occupancy of the building. The
29 method is extensively tested in a real case study in educational buildings, and expected improvements in energy
30 consumption are evaluated.

31
32
33 **Keywords:** Public shared buildings; Carbon dioxide monitoring; Demand-Controlled Ventilation; Energy-saving measures;
34 Infection risk in public buildings; Occupant-centric HVAC optimal control.

37 Nomenclature

A	Floor area of the classroom [m^2]
ACR	Air Change Rate [l/s]
ACRH	Hourly Air Change Rate [Vol/h]
c_p	specific heat capacity at constant pressure [J/(kgK)]
C	Concentration in the environment [ppm]
$C_{\{CO_2\},set}$	Setted values for CO ₂ concentration for optimal control
$C_{\{CO_2\},out}$	Reference value of external CO ₂ concentration [ppm]
$C_{\{CO_2\},start}$	Initial value of CO ₂ concentration [ppm]
$C_{\{CO_2\},max}$	Maximum permissible value of CO ₂ concentration
En	Energy used for ventilation [J]
h_{dist}	Specific enthalpy at distribution condition [kJ/kg]
h_{ext}	Specific enthalpy of the external air [kJ/kg]
I	number of source patients (infectors) [-]
n_{occ}	number of occupants of indoor space [-]
ρ	Metabolic production of CO ₂ [kg/s for person]
P	Infection probability [%]
q	quanta concentration of virus [1/hour]
Q	Volumetric air change rate [m^3/s]
\dot{r}	CO ₂ production rate produced by each person [l/min]
RH_{ext}	Relative humidity (external value)
$RH_{set-point}$	Relative humidity (set point value)
T_{dist}	Temperature of air distribution [$^{\circ}C$]
T_{int}	Internal set point air temperature [$^{\circ}C$]
T_{ext}	External air temperature [$^{\circ}C$]
V	Volume of the room or of the structure [m^3]
V_{min}	Minimum volume available for each occupant [m^3]
t	Time interval [s]
Δp	Pressure drop in the fan distribution circuit [Pa]
λ	Infection rate
η	Fan efficiency
ρ	Density [kg/m^3]

Abbreviations

ACR	Air Change rate
AHU	Air Handling Unit
BEMS	Building Energy Management Systems
EI	Energy Intensity
DCV	Demand Controlled Ventilation
HVAC	Heating, Ventilation, and Air Conditioning
IAQ	Indoor Air Quality
MV	Mechanical Ventilation
NV	Natural Ventilation

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41 **1. Introduction**

42 The maintenance of appropriate conditions of environmental health combined with the control of energy consumption
43 is a problem of large interest in the management of shared public buildings, and it is receiving an increasing attention as
44 it can contribute to the reduction of energy costs. At the same time, it is well known that it can reduce the impact of the
45 spread of viral and bacterial diseases, such as flu syndromes and Covid-19, as well as reduce the impact of energy
46 consumption in buildings. After the Covid-19 pandemic, a large amount of energy is now used for heating, cooling, and
47 air ventilation [1]. Air quality is gathering an increasing interest because new regulations have been introduced to improve
48 the safety of indoor environments and the operation of Heating, Ventilation and Air Conditioning (HVAC) systems.

49

50 **1.1 Motivation of the work**

51 This work addresses the consequences of many recent regulations that after the Covid-19 pandemic have redesigned the
52 operation of HVAC devices, most notably the request that recirculation of air taken from inside the environment should
53 be avoided in mechanical ventilation systems. This last measure is particularly restrictive since air recirculation had been
54 extensively used in the previous years to obtain significant energy savings for HVAC systems operation [2-5]. Conversely,
55 strict compliance with the Covid-19 regulations, together with the significant increase of mechanical ventilation (where
56 this opportunity is available), or the use of natural ventilation (by opening doors and/or windows) where mechanical
57 ventilation is not available, are triggering significant increases in energy consumption. In turn, this is determining
58 significant economic costs and progressive deviations from sustainability objectives [6].

59 This is a relevant problem, among others, in educational buildings like university classrooms and schools. Obviously, the
60 importance of ensuring healthy environments has become particularly evident after the Covid-19 outbreak. The airborne
61 transmission of the virus has led the scientific community to focus on possible methods to mitigate the risk, and to
62 recognize the pivotal role of ventilation systems. Indeed, in addition to the many non-surgical rules, such for instance
63 reducing the number of allowed people in indoor environments, reducing duration of stay, wearing surgical masks,
64 specific guidelines have been proposed to increase the ventilation rate to the maximum level, regardless of the actual
65 indoor conditions [9]. This has triggered a relevant increase of energy use for maintaining the desired indoor temperature
66 while maintaining full ventilation rate.

67 Many recent papers and project have proposed innovative monitoring/control solutions in energy management systems.
68 All of them typically aim at minimizing energy consumption, mainly focusing on energy efficiency and environmental
69 impact, while preserving the comfort perceived by the building occupants [7]. Some solutions are model-based, i.e., they
70 have been designed under the assumption that the building characteristics and all the dynamics influencing energy
71 consumption and occupants' comfort are accurately known or, at least, they can be modelled with a sufficient accuracy.
72 Conversely, they pay little attention to the actual occupancy of the buildings, or the real-time data regarding energy
73 consumption [8]. Other solutions, which can be usually found in case study projects, gather environmental and context
74 information via many distributed physical sensors. Although using many power meters and/or sensor nodes helps in
75 devising more advanced and context-aware control mechanisms, it also results in a more intrusive and expensive
76 apparatus that may ask for substantial changes on the existing Building Energy Management Systems (BEMS').

77 In this work, we address the problem of exploiting measured data to develop occupant-centric HVAC control solutions,
78 aiming at obtaining the desired level of air quality comfort, both in terms of a low level of CO₂ low probability of infection,
79 while at the same time avoiding the energy wastes due to continuous utilization of mechanical ventilation systems, even

80 with low levels of occupancy of the building. For this purpose, following the work of [10], we use the room size and CO₂
81 concentration as a proxy to assess indoor environmental conditions and occupancy.

82

83 **1.2 State of the art, paper contribution and novelty of the approach**

84 The recent Covid-19 outbreak has led to an increased awareness of the importance of the indoor environment on people's
85 health [2]. In addition to the conventional rules for internal comfort, the role of ventilation in the reduction of the
86 infection probability has been definitively proven [3], as through ventilation the outdoor air is introduced inside the
87 environment. HVAC systems can play a fundamental role in the maintenance of health conditions and different ventilation
88 strategies can be adopted to reduce the infection risk for different pandemic [4]. Guidelines have been implemented by
89 National and International authorities, which address the importance of ventilation both for maintain adequate air quality
90 and for reducing infection risks, in connection with the real occupancy profile of the building [5]. Furthermore, guidelines
91 suggest that air recirculation should be avoided, bringing ventilation to 100%, and decreasing the setpoint of CO₂
92 concentration at quite low level, defined in connection with the different use [11]. This has led to a considerable increase
93 in energy consumption for ventilation and climatization, which although may still be acceptable during the pandemic
94 period, appears to be unsustainable in the long term. Threshold values of CO₂ concentration in the air have been often
95 used both as an indication for the occupancy and as a feedback variable for infection risk control [12].

96 If a maximum value of CO₂ concentration may be used to guarantee a desired level of indoor air quality; the same value
97 does not necessarily guarantee a predetermined level of infection probability, but the two elements can be correlated in
98 some ways. But if the infection probability does not just depend on the absolute value of CO₂ concentration [13-14], (an
99 empty room with high CO₂ concentration is of course safer than a crowded room with a low value of CO₂ concentration)
100 in crowded spaces a correlation can be clearly identified. This last observation is not considered in many guidelines for
101 air ventilation, such as EN 16798-1 for European countries [15] or ASHRAE 55/62.1 for USA [16], which are air-quality
102 based norms that do not consider the airborne transmission of diseases. Conversely, other ventilation strategies have
103 been proposed in the literature that are effective to reduce the infection probability considering the actual indoor
104 conditions. These strategies are generally based on well-established models of indoor disease spreading, such as the
105 Wells-Riley model [17] or similar [18], which relate the infection probability to the ventilation rate [19]. Optimal
106 ventilation rate is a classic problem that has been already studied as in [20-22], where authors proposed demand-
107 controlled ventilation (DCV) based on CO₂ concentration monitoring to limit airborne transmission. Different authors, like
108 Kurnitski et al. [23] suggested a design method for ventilation based on the Wells-Riley model.

109 In general, the operation of HVAC system, often of the total air type, for ventilation and air conditioning at maximum
110 load, aimed at overcoming the Covid-19 rules, determines a significant increase in energy use, which becomes particularly
111 relevant in summer and winter cases, when the air exchange imposes a significant increase in energy uses and on the
112 consequent costs, and this problem has been not properly considered and for this reason, it is important to define a clear
113 connection between the ventilation of buildings and the actual occupancy level.

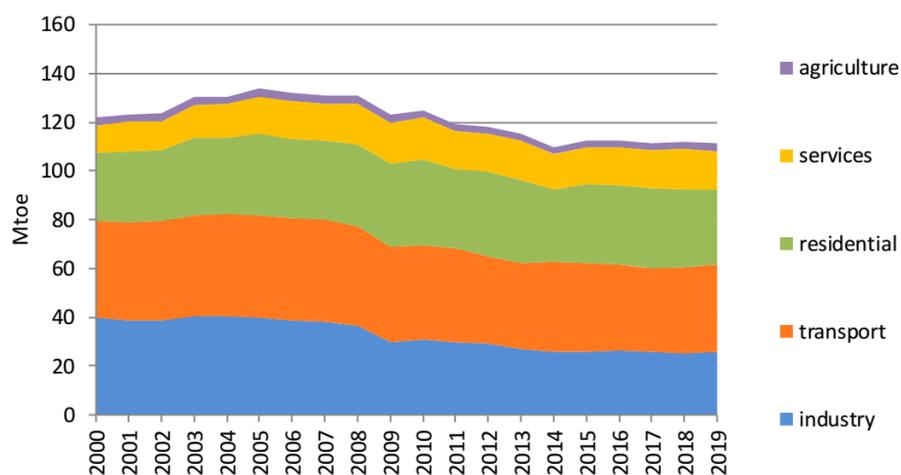
114 Moving from the above exposed considerations and from those already proposed in [21] and [24], intent of the authors
115 is to propose and discuss the impact of the pandemic experience on energy efficiency targets in public shared buildings
116 and to analyse the possibility of introducing an "occupant-centric" optimal control strategy for HVAC systems, to balance
117 the energy efficiency, but considering as constraint the maintenance of healthy IAQ conditions, while discussing the
118 comfort and safety level required by the recent legislation [25-29]. The analysis is developed considering real case studies,

119 referred to university classrooms. Finally, we propose a control strategy based on the analysis of CO₂ concentration and
120 its derivative in time to jointly control the air quality (through the CO₂ concentration) and the infection risk (through both
121 the CO₂ concentration and its derivative). The methodology may be replicated in different environments, leveraging on
122 the increasingly available data of occupancies in the post-Covid era.

123 The paper is organized as follows: next section briefly overviews data regarding energy consumption in the building
124 sector, to highlight the importance of this problem, and the possible impact of mitigation strategies for energy
125 consumption. Section 3 provides basic equations for the computation of energy consumption for HVAC systems, most
126 notably, cooling and heating. Section 4 further evaluates, and validates, similar equations for mechanic ventilation
127 strategies, showing the importance of the number of occupants of a room, and supporting the need of deriving occupant-
128 centric models for optimized HVAC operation. Section 5 shows the impact of ventilation strategies in the contact rate of
129 Covid-19 or other flu-like diseases. The prediction of infection probability is taken into explicit account in section 6, when
130 a specific case study is presented. Section 7 is then dedicated to predicting the energy savings which could be obtained
131 with the proposed strategy, while section 8 outlines a possible implementation when both CO₂ concentration and its
132 derivative are measured. Finally, section 9 concludes the paper and summarizes the main findings.

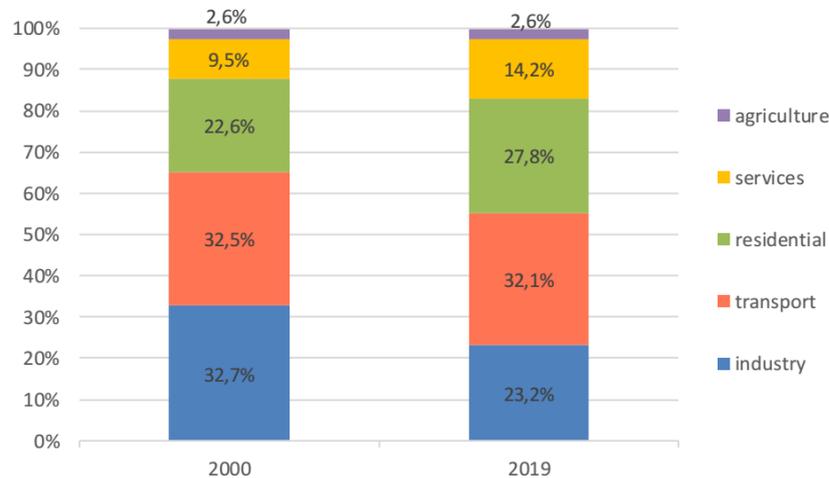
133 134 135 2. Energy consumption in the public sector: recent trends before and after Covid-19

136 Analyses of energy consumption in European countries testify that the public sector is relevant and accounts for 15-20%
137 of the total energy consumption. Interestingly, even before Covid-19, energy consumption was already decreasing in
138 many countries, included Italy as shown in Fig. 1, with respect to the level of the beginning of the current century [25].
139 Fig. 1, extrapolated from [30], provides the trend of energy in the pre-pandemic period from 2000 to 2019. In 2019, the
140 total energy consumption amounted to about 112 Mtoe (Mega tonnes of oil equivalent), corresponding to a primary
141 energy consumption of about 151.5 Mtoe. In particular, the industrial compartment reduced energy consumption by
142 more than 30% starting from 2005, at an average rate of about 3 Million of tons equivalent (Mtoe) per year. The same
143 occurred in the transport sector, in which energy consumption decreased by 10% in the period 2000-2019. Conversely,
144 energy consumption in the civil sector (sum of residential and services) has remained practically constant, and it
145 corresponded to about 42% of the total energy consumption in 2019, as in Fig. 2. However, the proportion has further
146 increased after the pandemic, due to the increased energy use of HVAC devices.



147
148 **Figure 1.** Final energy consumption in Italy by sector

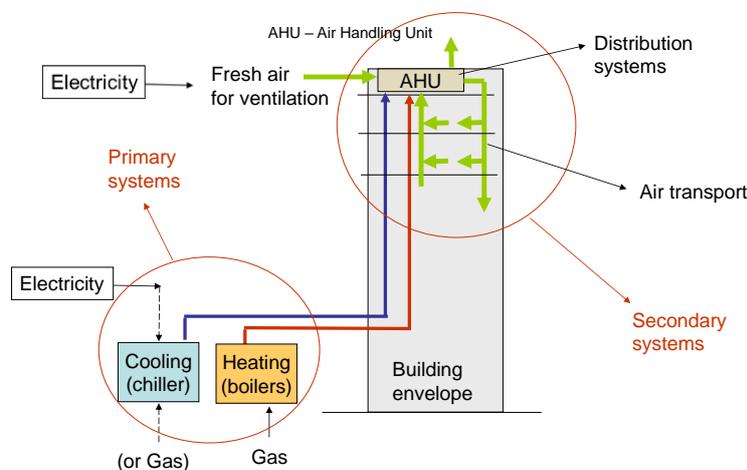
149 There are several types of HVAC systems that can be used for public buildings, depending on factors such as the size of
 150 the building, the number of occupants, the local climate, and the energy efficiency goals of the building owners. A
 151 significant share of the energy used in buildings may be referred to the operation of the HVAC systems, as they provide
 152 heating (with humidification), cooling (with dehumidification) and ventilation functionalities, using different energy inputs
 153 (mainly electricity and natural gas).



154
 155 **Figure 2.** Share of energy consumption in Italy by sector

156
 157
 158 **3. HVAC operation for ensuring safety conditions and comfort and related energy consumption**

159 The experience of the Covid-19 pandemic has highlighted the importance of ventilation in public spaces. Today it is hardly
 160 possible to keep open public buildings that are not equipped with a mechanical ventilation (MV) system. The mechanical
 161 ventilation system is also part of the HVAC air conditioning systems, which are often air systems whose operation is well
 162 exemplified by the schematic diagram provided in Figure 3. Air change rate (ACR) has increased dramatically after Covid-
 163 19 pandemic to mitigate the risk of virus spreading, thus increasing the interest in ventilation, which is energy furthermore
 164 energy consuming. Many installed heat exchangers and thermal generators had been originally planned to match the
 165 demand of building heating with a guaranteed acceptable level of IAQ, under the assumption of air recirculation.



166
 167 **Figure 3.** HVAC schematic operation and focus on air ventilation.

168 Air recirculation has been banned by many national recent legislations due to Covid-19, and thus an acceptable level of
 169 IAQ may not be achieved, unless at the expense of greatly increasing the use of mechanical ventilation, which increases
 170 energy consumption and energy costs. For such reasons, new approaches for designing HVAC systems, or for controlling
 171 HVAC operation, are necessary and urgent to make indoor spaces safe without compromising all the efforts and policies
 172 towards energy efficiency. Installation of instruments for IAQ monitoring is fundamental, together with implementation
 173 of intelligent building management and control systems. The attention to energy use should not compromise the
 174 requirement for a correct IAQ. A given amount of renewed fresh air is required to determine comfortable indoor
 175 environment, maintaining recommended values of relative humidity and pollutants concentrations. Several indicators
 176 can be linked to IAQ control: one of the most used ones is CO₂ concentration. CO₂ concentration can be considered as a
 177 simple proxy for occupancy of rooms, and consequently for assessing optimal ventilation rates. As ventilation is
 178 fundamental for maintaining adequate IAQ, the possibility of reducing energy needs associated with ventilation and ACR
 179 is a topic of particular interest too. The energy required for ventilation can be estimated starting from fan power
 180

$$P_{fan} = \frac{Q\Delta p}{\rho \eta}, \quad (1)$$

181
 182 where Q is the air exchange rate, required for maintaining the maximum amount of CO₂ concentration, expressed in m³/s.
 183 The used energy depends on the mass flow rate and on indoor and external temperature. The energy required for the
 184 single heating component can be estimated through the following equation (referred to an operating time t):
 185

$$E_{heating} = \rho Q c_p (T_{dist} - T_{ext}) t, \quad (2)$$

186
 187 where c_p is a value of the specific heat of air that opportunely considers the relative humidity and the two temperatures
 188 of the internal environment and external air. The value of c_p may be considered constant for a typical observation period
 189 t , during which the difference between the distribution temperature T_{dist} , assuming a value sufficiently higher than the
 190 internal setup temperature, and the external temperature T_{ext} can be assumed constant as well (for example 1 hour).
 191 During the cooling period the energy required can be evaluated by directly using the enthalpy values
 192

$$E_{cooling} = \rho Q (h_{dist} - h_{ext}) t \quad (3)$$

193
 194 where Specific Enthalpy h is calculated considering the moisture content at inlet and the moisture content required at
 195 distribution (for example 60%), so that the total energy change can be obtained as the sum of the sensible and latent
 196 change. Then, integrating on the total operating hours of the building during a week, typical values of the energy required
 197 for maintaining the desired value can be estimated. The amount of removed water can be calculated by considering the
 198 specific moisture content of fresh air and the specific moisture content required at distribution.
 199
 200

201 4. Occupant-centric model for defining DCV based on the analysis of CO₂ concentration evolution.

202 It is more complicated to accurately estimate the energy consumption for ventilation. In principle, one could easily
 203 consider the mass balance equation of CO₂ in an indoor environment, assuming a well-mixed model with uniform and
 204 constant concentration of CO₂ in the room, and a well-defined value of the generation rate of the occupants.

205 Under the previous hypotheses, in a closed volume the rise of CO₂ concentration ($C_{\{CO_2\}}$) depends on the number of
 206 occupants. Using an estimate of the production rate \dot{r} , expressed in l/min (e.g., using the production rate p defined in
 207 Table 1 as a function of the activity of the individual), knowing the volume V of the room, and measuring the CO₂
 208 concentration variation with time ($\frac{dC_{\{CO_2\}}(t)}{dt}$), then Eq. (4) may be used to estimate the number of occupants, n_{occ} :

209
 210
$$V \frac{dC_{\{CO_2\}}(t)}{dt} = \dot{r} n_{occ}. \quad (4)$$

211 Eq. (4) can be also reformulated in terms of the volume available for each person (V/n_{occ}), which can be considered an
 212 accurate variable as long as the height of the room does not exceed the other two dimensions

213
 214
$$\frac{V}{n_{occ}} = \dot{r} \frac{1}{\frac{dC_{\{CO_2\}}(t)}{dt}}. \quad (5)$$

215
 216 From Eq. (5), it is easy to understand how the variation of CO₂ concentration can be related to the number of occupants
 217 if the rate of generation, strongly correlated with age, activity, and occupant's behavior, is known. If air ventilation is also
 218 considered, then the air ventilation rate Q can be imposed with a mechanical device (or may correspond to the one
 219 available under natural conditions, for instance if windows or doors are open), and the new equation becomes

220
 221
$$V \frac{dC_{\{CO_2\}}(t)}{dt} = \dot{r} n_{occ} - Q(C_{\{CO_2\}}(t) - C_{ext}) \quad (6)$$

222 where Q is the air flow rate due to ventilation (mechanical or natural), in m³/s, and C_{ext} is the outdoor CO₂ concentration.
 223 CO₂ concentration variation in the room can be written in explicit form, and thus its value at time t , can be estimated as:

224
 225
$$C_{\{CO_2\}}(t) = C_{start}(t=0) \exp\left(-\frac{Q}{V}t\right) + \left(C_{ext} + \frac{\dot{r} n_{occ}}{Q}\right) \left(1 - \exp\left(-\frac{Q}{V}t\right)\right). \quad (7)$$

226 In all the equations, a fundamental role is played by the CO₂ production rate \dot{r} , which depends on different elements
 227 (age, weight, and type of activity); typical values of exhalation per person for some typical indoor activities are reported
 228 in Table 1, obtained according to the values given in [20], where major details about the synthetic description summarized
 229 in Eqs. (4)-(7) can be obtained. The idea is to define the appropriate value of the ACR, Q , to maintain a maximum value
 230 of CO₂ concentration in the room. In this way, after a first phase of increase of CO₂ concentration, an equilibrium condition
 231 is reached. If students are not in the room, the concentration decreases according to:

232
 233
$$C_{\{CO_2\}}(t) = C_{out} + (C_{start} - C_{out}) \cdot e^{-\frac{Q}{V}t}. \quad (8)$$

234 **Table 1.** Typical value of CO₂ production rate depending on the occupant age and on the activity.

Type of indoor activity	Typical CO ₂ production rate for person, p (kg/s / person)	CO ₂ production rate for person, \dot{r} (l/s / person)
adult people reading seated	0,0000079	0,0044
people sitting or involved in light intensity activities, seated	0,0000094	0,0052
people standing and operating a medium physical activity	0,0000114	0,0063
high intensity physical activities	0,0000315	0,0174

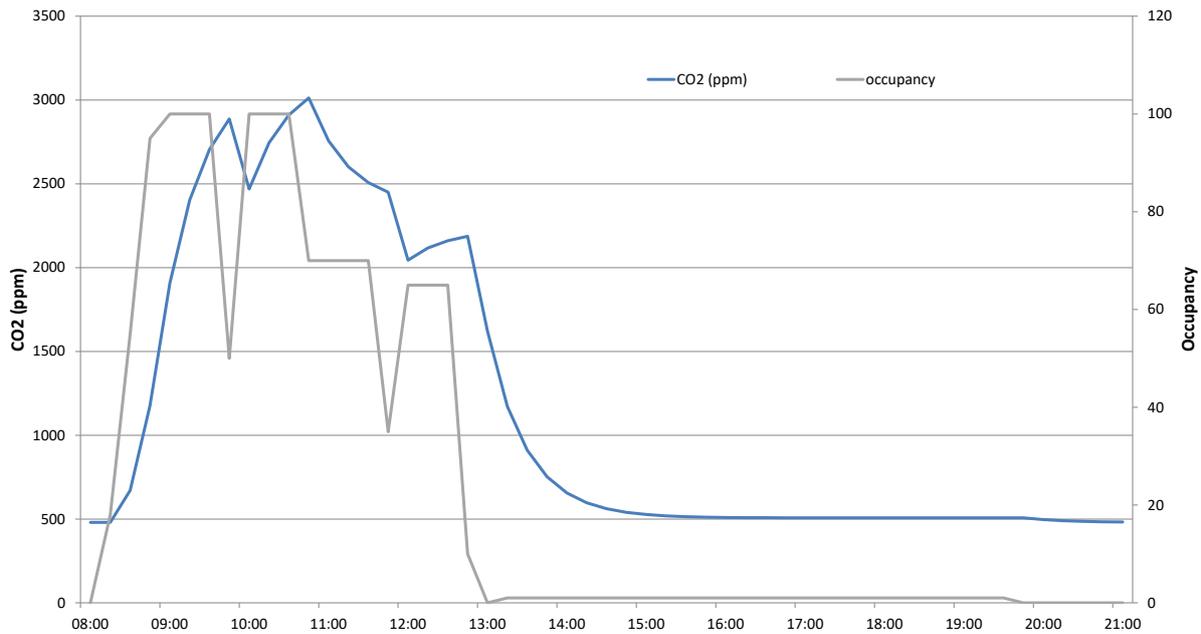


Figure 4. Evolution of CO₂ concentration in a crowded room without action of mechanical ventilation.

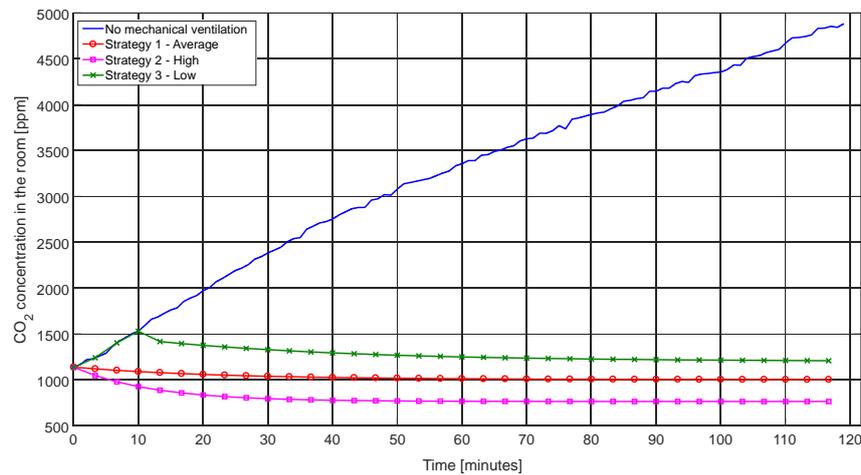
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238 Figure 4 shows the evolution of CO₂ concentration in a classroom with an estimated volume of about 430 m³ where about
 239 one hundred students follow a 2-hour lesson with a single break after one hour. After this, 80 students follow the
 240 subsequent class for another 2 hours with another similar break in the middle. In this case, MV is not active and the ACR
 241 is only determined by air infiltrations. It can be estimated that the CO₂ concentration after one hour assumes values well
 242 above the maximum allowed values (1500 ppm). In closed environments, the models for forecasting the evolution of CO₂
 243 concentration are simple and can be based on basic principles of mass balance and energy conservation. However, in
 244 real-world environments, it can be difficult to control air infiltrations and other sources of variability, which can
 245 complicate the modeling process, so that the direct data-based monitoring appears more convenient.

246 In a recent paper, [10], the correlation between the variation of CO₂ concentration and the occupancy profile of the room
 247 was analyzed with experimental methodology by one of the authors of the paper. The data of the monitoring campaign
 248 are relative to cases characterized by different occupations. The monitored parameters were CO₂ concentration, air
 249 temperature (T_a), and relative humidity (RH). For the measurements, Chauvin Arnoux CA 1510 sensors were used. The
 250 sensors present the following characteristics: range of measurements 0-5000 ppm, resolution of 1 ppm and uncertainty
 251 ±50 ppm at 25 °C and 1.013 bar for the CO₂ measurement, accuracy equal to 0.5 °C (in the range -10 and 60 °C at 50%
 252 of RH) for the air temperature and accuracy 0.1% for the relative humidity. A detailed description of the instrument and
 253 of the experimental analyses is provided in [10]. The tests conducted in [10] have demonstrated the absolute reliability
 254 of CO₂ monitoring to make an indirect estimate of the occupation of the spaces and the experimental analysis was useful
 255 to evaluate the adaptivity of the model defined by Eqs. (2)-(6); in particular, referring to the data contained in Table 1,
 256 the typical carbon dioxide productivity (exhalation rate) has been defined, and this can be estimated in the value of
 257 0,0000094 kg/s per person. The problem is quite complex because the CO₂ concentration increase depends on several
 258 variables such as the exhalation rate, the geometrical data of the room (volume V and area A), the number of occupants,
 259 the operational conditions (regular occupancy, occupancy with breaks), the ventilation rate (mechanical or natural) and
 260 on the opening of windows and doors. By simulating a typical operating condition and comparing the data with the various

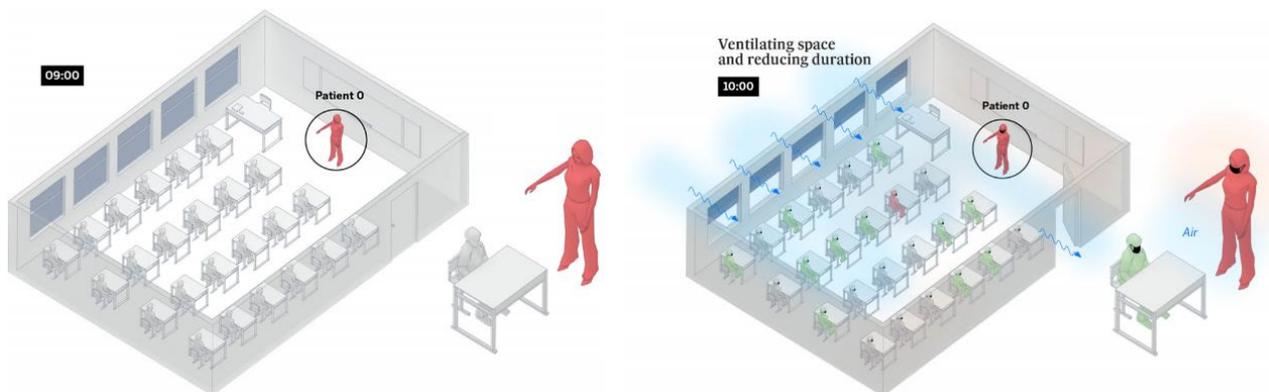
261 experimental data acquired, then, one can use Eqs. (4)-(6) to estimate the mechanical ventilation, or air flow rate, which
 262 should be activated to maintain optimal operating conditions, in terms of temperature and level of CO₂. Currently,
 263 guidelines for the operation of HVAC systems for public buildings require increasing the ventilation at the maximum
 264 capacity, without considering the indoor conditions, which include room occupancy, characteristics, possible natural
 265 ventilation, etc. Fig. 5 illustrates in a qualitative way how the air flow rate obtained with MV that can be used to control
 266 the CO₂ concentration. In all the cases, we assume that the initial value of CO₂ concentration is over 1000 ppm in a case
 267 in which a quite high occupancy of a classroom and MV starts operating if a concentration reaches 1500 ppm.



268
 269 **Figure 5.** Simulation of different conditions in connection with different mechanical ventilation strategies for a crowded
 270 classroom, while the green area shows the trend when the ventilation is switched off.

271
 272
 273 **5. The ventilation rate and the connection with Covid-19 infection and other pathogen diffusion probability**

274 Mechanical ventilation represents the link between energy management and environmental control for Covid-19 risk
 275 prevention (Fig. 6) and is now mandatory in shared public buildings. Since the concentration of CO₂ has been widely
 276 used in the literature as a good indicator of both air quality and healthiness, in the following we shall also use it as the
 277 relevant variable for air quality control, as we shall discuss in the current section. The epidemiological risk of a disease is
 278 evaluated as the number of people that an infectious person may infect when he/she is introduced in a community. The
 279 greater this number is, the more contagious the pathogen is considered. One of the relevant elements to mitigate the
 280 infection risk is the ventilation of the indoor environment. There are several models that relate the infection probability
 281 to the ventilation rate, showing that the increase in ventilation can reduce the risk of airborne diseases [17], [18].



282
 283 **Figure 6.** The measures for preventing Covid-19 infection: air ventilation

284 5.1 IAQ and health issues management

285 CO₂ concentration has been often used as an indicator for IAQ management, as it is a pollutant easy to monitor that is
286 directly produced by people. CO₂ is not a dangerous pollutant by itself, but it can influence people's well-being, [24].
287 During the pandemic, several international organizations such as ASHRAE or REHVA remarked on the importance of
288 maintaining IAQ in buildings, especially in schools. ASHRAE recommended maintaining 500-700 ppm, with action limits
289 up to 1200 ppm [16], while REHVA proposed an "alarm system" that considers warning levels at 800 ppm and alarms
290 above 1000 ppm [12]. However, these are the common threshold values given during normal operation of buildings to
291 ensure indoor IAQ and are not related to the possible transmission of infectious diseases. Considering the aspects related
292 to health, several guidelines address the importance of ventilation to manage the risk in indoor spaces, as it can dilute
293 infectious pathogens. Guidelines often refer to previous norms regarding indoor air quality only and there is a need for
294 assessing the possible risk that is not directly related to an absolute CO₂ limit. However, the CO₂ concentration can be
295 used as an indicator of ventilation, which can be directly linked to the infection probability. Monitoring CO₂ concentration
296 can provide indications not only on IAQ but also on the risk of infection probability connected to Covid-19.

297

298 5.2. Models for defining airborne infection risk in transient spaces with variable occupancy and activity levels

299 Riley et al. [17] introduced models, commonly referred to as the Wells-Riley model, for quantifying the risk of airborne
300 infection of respiratory diseases. These models have been widely used in several applications and have been refined and
301 extended as in [18] and [19]. During the Covid-19 outbreak, the same model has been also used to predict airborne
302 infection risks, after appropriate tuning. In particular, the model can predict the infection rate λ caused by a specific virus
303 or pathogen element, according to:

304

$$\lambda = \frac{I p q}{Q}, \quad (9)$$

305

306 where I is the number of initially infected individuals, p is the CO₂ production rate produced by each person, value
307 analyzed in Table 1, q in the unit of infection (quanta concentration) and Q is the ventilation rate, expressed in
308 volume/time. The unit "quanta" used in the risk models is a hypothetical infectious dose unit calculated in epidemiological
309 studies. One quantum is defined as a collection of pathogen particles that can infect susceptible people. The models
310 described in the literature, even if they provide interesting qualitative indications, turns out to be rather uncertain,
311 especially for the definition of parameters p and q . The infection probability P is correlated with the infection rate λ and
312 with the exposition time t as:

313

314

315 6. Prediction of infection probability based on CO₂ concentration: applications to a case study

316 The idea developed in the paper is to directly connect the ventilation rate required for the internal space and the
317 probability of infection risks. Considering a closed volume, the probability of infection can be calculated using the method
318 described in [18]; the application of the model can be done knowing the volume of the room, exposure time, and the
319 type of activity and the occupancy. The results obtained in different situations shows that it is possible to find a correlation
320 between CO₂ concentration level, and between the first derivative of the CO₂ concentration increase ($dC_{\{CO_2\}} = /dt$) with

321 the infection probability P . The methods have been tested in two buildings of different shape and characteristics. Those
 322 can be considered representative structures in Italian university buildings as they are dedicated only to student activities
 323 such as lessons, exams, and studies. Both buildings are in Pisa (1694 Heating Degree Days).
 324 The first one, Building A, has five diverse levels, a floor surface of about 1560 m² and a gross volume of approximately
 325 6200 m³, of which about 4540 m³ are occupied by classrooms (12 classrooms). At a full occupancy, the number of students
 326 inside the building is 878. The second structure, Building B, is an old factory, modified for educational purposes. The
 327 building has a floor area of about 2100 m² and a total volume of 11500 m³. The external building area is approximately
 328 5500 m², corresponding to an aspect ratio area/volume of about 0.53 m⁻¹. A total of 9 classrooms are present: four with
 329 100-110 available seats, two with 140 and 150 places, one with 196, one with 208, and the biggest one with 310 seats.
 330 Full occupancy is considered in 1440 units. Table 2 summarizes the main geometrical elements of the two buildings object
 331 of the study (provided in Fig. 7), while Table 4 provides the details relative to the various classrooms.

332
 333 **Table 2.** Main characteristics of the buildings used as test cases.

Building	Volume [m ³]	Levels	Total floor area [m ²]	External area [m ²]	Number of classrooms	Maximum occupancy	Maximum occupancy after Covid-19 pandemic	Ratio A/V (m ² /m ³)	Minimum volume per student, V/N (m ³)
A	6198	5	1560	2000	12	878	435	0.33	14.24
B	11500	1	2100	5500	9	1440	740	0.53	14.18

334



335

336 **Figure 7.** A view and a typical rendering of the two buildings, object of the analysis.

336

337 **Table 3.** Characteristics of the classrooms in the two buildings.

Classroom	Building	Floor	Maximum capacity	Area (m ²)	Maximum height (m)	Average height (m)	V (m ³)	Vmin-available (m ³ /pers)	Ventilation mode
A1	A	2 nd	162	175	2.9	2.9	508.4	3.1	NV
A2	A	4 th	74	73	2.9	2.9	212	2.9	NV
A3	A	4 th	38	44	2.9	2.9	127	3.3	NV
A4	A	4 th	40	43	2.9	2.9	124	3.1	NV
B1	B	0	109	125	7.0	5.56	717	6.6	NV/MV
B2	B	0	140	131	3.6	3,35	438	3.1	NV/MV
B3	B	0	109	128	7.0	5,56	710	6.5	NV/MV
B4	B	0	148	145	7.0	5.55	805	5.4	NV/MV
B5	B	0	104	129	7.0	5,56	716	6.9	NV/MV
B6	B	0	198	197	7.0	5,56	1093	5.5	NV/MV
B7	B	0	212	216	7.0	5,64	1220	5.8	NV/MV
B8	B	0	305	286	7.0	5,55	1587	5.2	NV/MV

338 **6.1 Analysis of typical operating conditions**

339 Table 4 shows the conditions encountered in 3 meaningful cases, which include the activity performed in the class, the
 340 period of measurement, the number of occupants (n_{occ}), the volume of the room (V), the ratio between volume and
 341 occupancy (V/N), the initial (C_0), maximum (C_{max}), mean (C_{mean}) CO_2 concentration, and the increase in CO_2 concentration
 342 over time ($dC_{\{CO_2\}}/dt$). The data consider all the typical situations: natural or mechanical ventilation; the number of
 343 occupants in the classrooms is variable, ranging between 8 and 165 students. Three different situations can be detected:

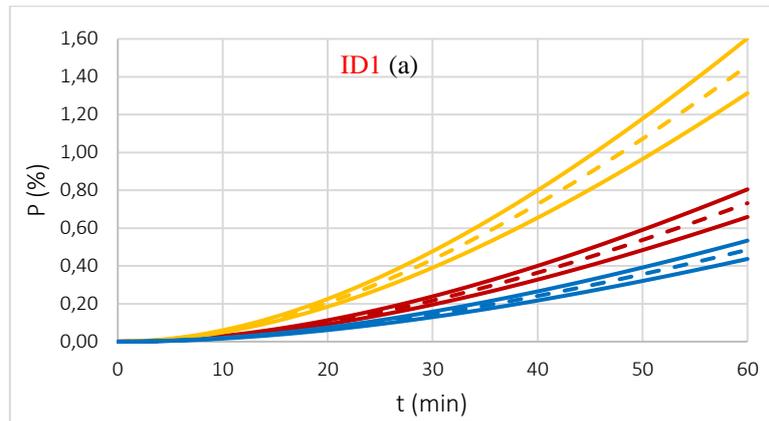
- 344 (i) naturally ventilated classrooms with reduced volumes for high occupancy (ID1),
- 345 (ii) naturally ventilated classrooms with an average occupation (ID2),
- 346 (iii) and the mechanical ventilated on (ID3).

347 Regarding the CO_2 concentration, the most critical situation can be noticed in a situation like ID1, where the CO_2 increases
 348 up to 3000 ppm after 60 minutes. In this case, natural ventilation is not sufficient to ensure a good IAQ, which is impaired
 349 after about 20 minutes. The second case, provided by ID2 shows that the CO_2 increases less rapidly than in ID1, but it
 350 reaches very high values also because the room is not ventilated prior to the lecture (CO_2 is around 1000 ppm). Situations
 351 like the one identified with ID3 shows the condition of the mechanically ventilated classroom, with a rather low occupancy
 352 (26 people in a room with a capacity of 109 people). This leads to an increase of energy consumption which could be
 353 avoided by adjusting the flow rate according to the present conditions. The CO_2 concentration trend can be predicted
 354 using Eq. (4), considering situations in which mechanical ventilation is active or not. In all the previous cases, an exposure
 355 time of 1 hour was considered. Table 5, provides the infection probability, calculated after one hour of exposure for three
 356 different infection, Covid-19, Covid-19 variant, and the most common flu virus. In particular, the infection probability can
 357 be estimated using some refinements given by Gammaitoni-Nucci model, which was frequently used for the assessment
 358 of the infection probability during the Covid-19 pandemics, see for instance [17], even if the use of a different model does
 359 not change the perspective. For the analysis, the number of infected people (I) was considered as the 2% of the total
 360 number of occupants in the classroom (n_{occ}), consistently with the Covid-19 statistics [28], and the breathing ventilation
 361 rate (p) was set as defined in Table 1. Typical values suggested by the recent literature have been considered for the
 362 quanta exhalation rate (q). According to [13], a reference value equal to 1 was assumed for SARS-CoV-2 variant, 50% of
 363 this value has been assumed for SARS-CoV-2 and a value of 1/3 has been assumed for flu, [27]. Fig. 8 provides a
 364 quantitative trend of infection probability for one hour of exposure in three cases of Table 5 (ID1, ID2, and ID3). The most
 365 critical condition from the point of view of the infection probability is obviously the case labelled with ID1, which has the
 366 greatest increase in CO_2 over time, high occupation, and no mechanical ventilation. The analysis of case (c) demonstrates
 367 that when mechanical ventilation is fully active, the epidemiological risk is quite low, and ventilation could be reduced.
 368 Considering the law exposed before, the infection probability is connected to the various parameters and, it is decreasing
 369 with the increase of the ventilation rate Q . The estimation of the ventilation rate from a gas tracer such as CO_2
 370 concentration can be based on the mass balance model, described in Section 4.

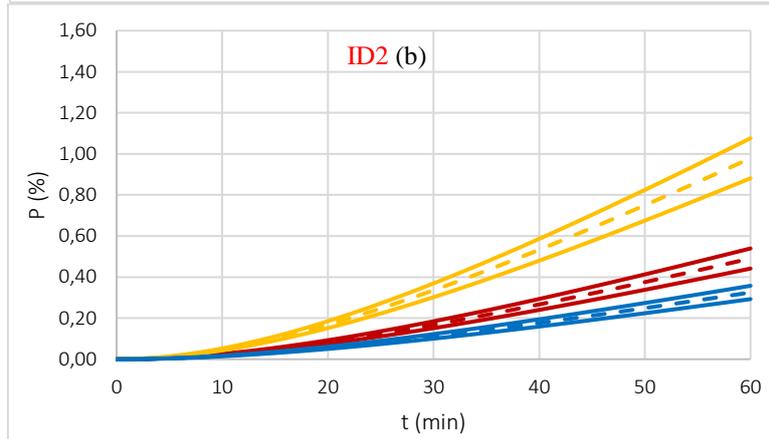
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 372 **Table 4.** Increase of CO_2 concentration over time ($dC_{\{CO_2\}}/dt$), and infection probability due to Covid-19, Covid-19
 373 variant, and flu after one hour of exposure for all the cases considered.

ID	Class	\dot{v}	n_{occ}	$nocc$	$V/nocc$	C_0 (ppm)	C_{max} (ppm)	C_{mean} (ppm)	$dC_{\{CO_2\}}/dt$ (ppm/min)	P Sars-CoV-2	Sars-CoV-2 (Variant)	Flu
1	B7	51.5	165	7.4	1107	3289	2272	37.7	0.73	1.46	0.49	
2	B2	17.5	56	7,8	2250	3370	2842	18.9	0.49	0.98	0.33	
3	B1	8.1	26	16,8	666	884	841	2.8	0.13	0.26	0.09	

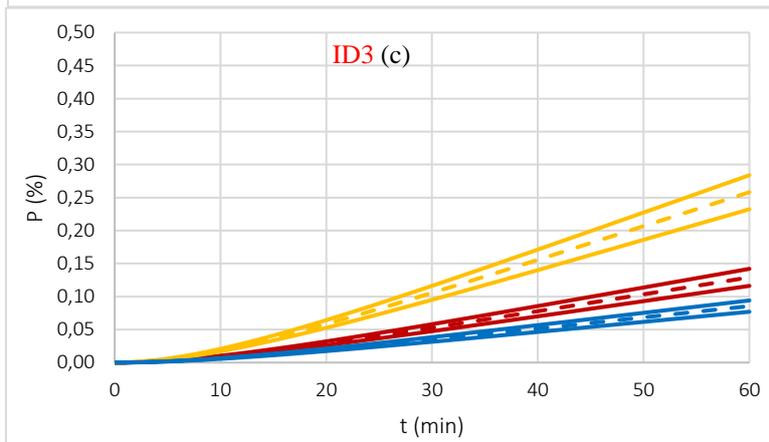
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Figure 8. Infection probability in classrooms ID1 (a), ID2 (b), and ID3 (c) for Covid-19 (in red), Covid-19 variant (in yellow) and flu (in blue), considering a 10% error in the definition of the quanta exhalation rate.

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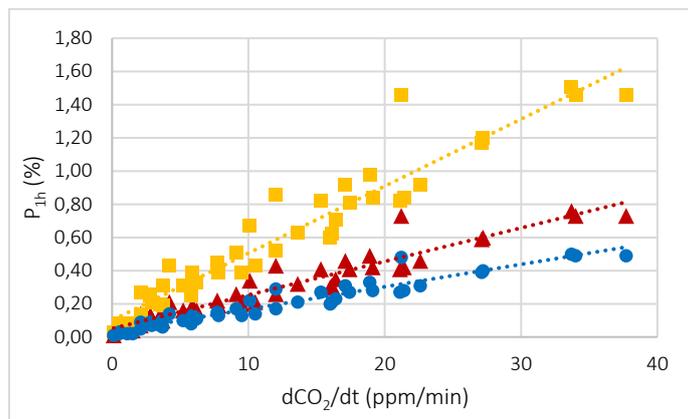
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A reasonable level of risk of infection can be estimated if the level of replacement guarantees a CO₂ concentration below 1000 ppm. The infection probability calculated after one hour of exposure (P_{1h}) and the increase of CO₂ concentration with time ($dC_{\{CO_2\}}/dt$) for the various measurements permits to establish a kind of linear correlation between the infection risk and ($dC_{\{CO_2\}}/dt$) (Fig. 9). Optimal control of HVAC can be based not only on a threshold value of CO₂ concentration, but also on its increase over time ($dC_{\{CO_2\}}/dt$). Considering the maximum occupation, the requirements for the two academic structures previously tested could be identified in Table 5 in which the actual values is considered too. Considering building B, the nominal ventilation rate (about 35000 m³/h), corresponding to approximately 3 Vol/h has been defined using the criterion established by the typical directive of ensuring an ACR of 7 l/s per each person inside a room or a building, according to the data contained in the most diffuse guidelines for non-residential buildings, as [16] and [29]. The ACRH value of approximately 3 Vol/h can guarantee in general the maintenance of the indoor conditions

390 and of CO₂ concentrations at values below 1000 ppm considering a full occupation of the building. An ACR of 3 Vol/h
 391 permits to maintain a CO₂ concentration below 1500 ppm. This increase in ventilation rate brings a consistent increase
 392 of energy consumption, as HVAC systems are in continuous operation, even when not required.



393
 394 **Figure 9.** Correlation between dC_{CO_2}/dt and P_{1h} for Covid-19 (triangles), Covid-19 variant (squares), and flu (circles).

395 **Table 5.** Typical values of the air change flow rate of the two tested buildings with full occupation and ACR setted.

Building	Volume [m ³]	Levels	Total floor area [m ²]	Maximum occupancy	Required ACRH at maximum occupancy (Vol/h)		ACRH rate setted
					1000 ppm	1500 ppm	
A	6198	5	1560	878	7,64	3,61	NA
B	11500	1	2100	1440	6,57	3,03	3

396
 397 The adaptation of ventilation rates to actual IAQ requirements represents the inclusion of the energy perspective when
 398 dealing with occupants' safety and comfort, and therefore consists in an action aimed at valorising those conflicting
 399 objectives. In this perspective, DCV strategies can be implemented. Using the model of the building, realized by one of
 400 the authors in a previous paper and described in [27], considering a structure like Building A of Fig. 4, considering a typical
 401 occupation profile with 50% of maximum occupancy, the air change rate per person per hour (expressed in m³) required
 402 for maintaining the value of the CO₂ concentration below the threshold is dependent on the value. In this case, the energy
 403 consumption can be estimated on the base of the number of occupants of the building and of an assumed value of the
 404 external temperature. The data in Table 6 are obviously indicative. The same objective of partialisation of the ventilation
 405 can be achieved either by working directly on the ventilation system and by regulating fan frequency (possible up to 30-
 406 40% of nominal flow rate) or by appropriately reducing the operating times, using on-off procedure, keeping the fan on
 407 only for a short time (for example some minutes for three/four hours in cases like the one of 10% of occupancy level).

408 **Table 6.** ACR required for maintaining CO₂ concentration below 1000 ppm at different occupancy profile.

Occupation	1440 (100%)	720 (50%)	432 (30%)	288 (20%)	144 (10%)
Air Change Rate (Vol/h)	6 (19200 l/s)	3 (9600 l/s)	1,61 (5150 l/s)	0,89 (2850 l/s)	0,1 (320 l/s)
Estimated hourly energy consumption during winter season if T _{out} = 5°C [kWh]	386	193	103	57	6,5

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 411 **7. Application of the methodology in University classrooms and definition of DCV strategies**

412 Educational buildings can be particularly energy-consuming since HVAC systems are switched on for a long period of time
 413 and can also be critical about IAQ and health conditions, as students remain still inside the classrooms for a prolonged

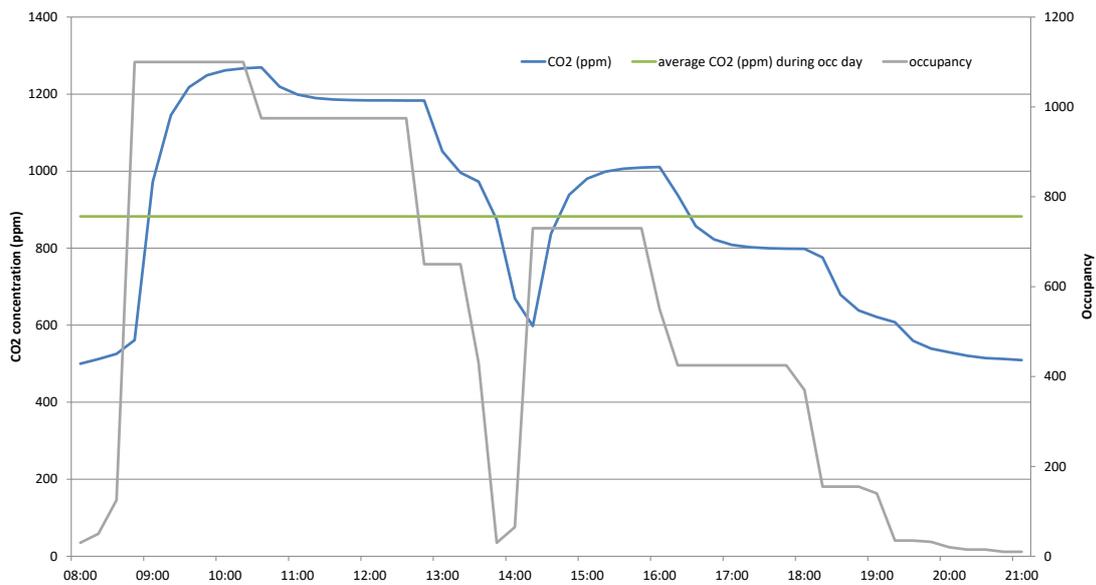
414 amount of time. For this reason, they were chosen as a case study, to optimize the use of HVAC systems with a multi-
 415 objective purpose. Recently, one of the authors of the present work has conducted many experimental analyses on CO₂
 416 detection within academic structures. Table 7 shows some typical situations related to the two structures described in
 417 Table 3. In each of the cases it is reported what, based on the model described in section 4, should be the level of air
 418 exchange required to maintain the appropriate health conditions. As can be seen, the operating conditions are very
 419 different, due to the different level of occupancy. For this reason, it would be very useful to be able to link the operation
 420 of HVAC systems directly to presence monitoring, using direct methods (such as visualization) or indirect methods, such
 421 as monitoring the concentration of CO₂. As can be seen from the analysis of the Table 8, the conditions that arise in the
 422 classrooms of university buildings are very variable. The ventilation system is often sized for averagely high occupations
 423 of the same and the rules imposed after the COVID-19 pandemic require their operation at maximum flow for the entire
 424 duration of the opening phases. This, if on the one hand guarantees compliance with the healthy air conditions and a
 425 reduced probability of virus transmission risks, on the other it leads to high energy use, especially in the winter and
 426 summer seasons, when the air exchange it determines not only consumption for ventilation, but also and above all for
 427 heating or cooling. The air that is drawn at the external temperature must in fact be distributed at a suitably higher
 428 temperature (in the winter season) or suitably lower (in the summer season). If additional humidification is not necessary
 429 in the winter period, then the load is mainly due to the sensible heat.

430 **Table 7.** Conditions encountered during the measurement campaign and required change rate for maintaining a CO₂
 431 concentration below 1000 ppm considering a period of three hours.
 432

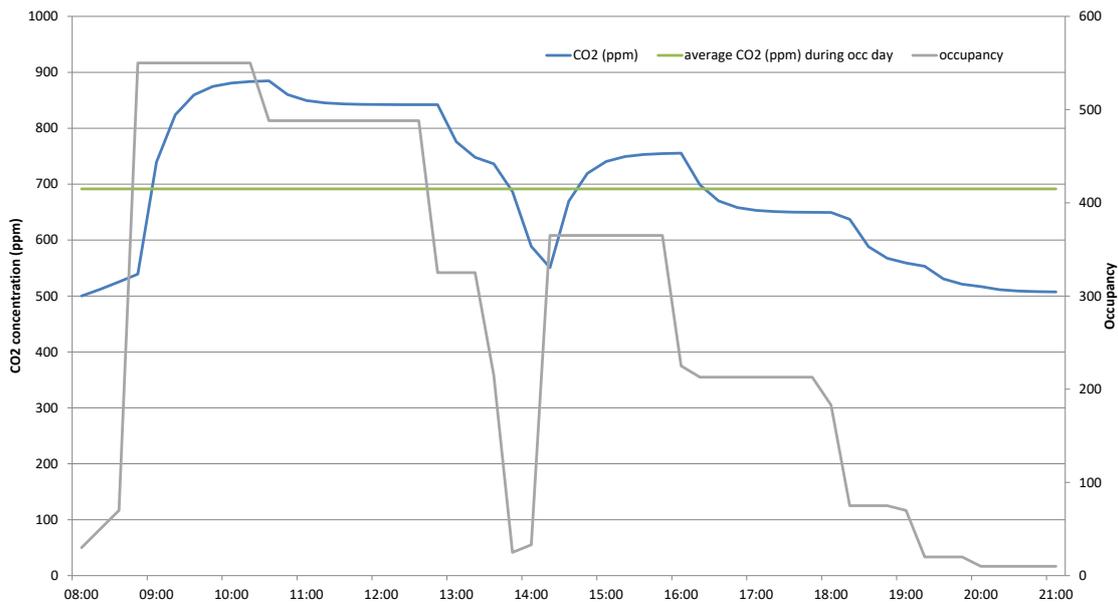
ID	Classroom	Occupants, n (pers)	Volume, V (m ³)	V/N (m ³ /pers)	Required ACR (Vol/h)
1	B7	165	1220	7.4	7,20
2	B7	62	1220	19.7	2,39
3	B8	58	1587	27.4	1,63
4	B8	86	1587	18.5	2,56
5	B5	52	716	13.8	5,04
6	B8	92	1587	17.3	2,79
7	B7	106	1220	11.5	4,42
8	B4	34	805	23.7	1,86
9	B6	147	1093	7.4	7,08
10	A2	69	212	3.1	18
11	B2	56	438	7.8	6,72
12	A2	71	212	3.0	18,5
13	B3	54	710	13.1	3,80
14	B5	69	716	10.4	4,94
15	B3	59	710	12.0	4,20
16	B2	31	438	14.1	3,51
17	A4	15	125	8.3	6,33
18	A4	11	125	11.4	4,49
19	A1	12	508.4	42.4	0,80
20	A3	23	126.5	5.5	9,75
21	B4	14	805	57.5	0,42
22	B8	50	1587	31.7	1,27
23	B7	37	1220	33.0	1,21
24	B5	22	716	32,5	1,20
25	B6	19	1093	57,5	0,42

433
 434 Conversely, in summer the air must also be suitably dehumidified and therefore “sensible heat” must also be added to
 435 the “latent heat”. It is notorious how, in the climatic conditions typical of many Italian places, the latent heat is usually of

436 the same order of magnitude or even higher than the sensible heat. Some analyses built on the model described in section
 437 4 are reported below for two different scenarios: one of very high occupancy of the spaces (Fig. 10), in which the
 438 maintenance of high ventilation (3 Vol/h) is assumed during the period from 8 a.m to 21 p.m. and one with reduced
 439 occupancy profile (Fig. 11). The figures provide the trend of occupancy (in the right axis) and the CO₂ concentration (on
 440 the left axis) and, as additional information, the average CO₂ concentration during the whole day too. If in the first case,
 441 it is necessary to maintain maximum ventilation conditions throughout the day and indeed this is, in some cases, not
 442 sufficient to always keep the CO₂ level below the threshold value of 1000 ppm. In case of reduced occupancy, ventilation
 443 at 100% is redundant (Fig. 11). In this case it may be sufficient to maintain a ventilation level at 70% of the maximum (Fig.
 444 12) or even at 50% of the maximum, while still guaranteeing excellent environmental conditions (Fig. 13).

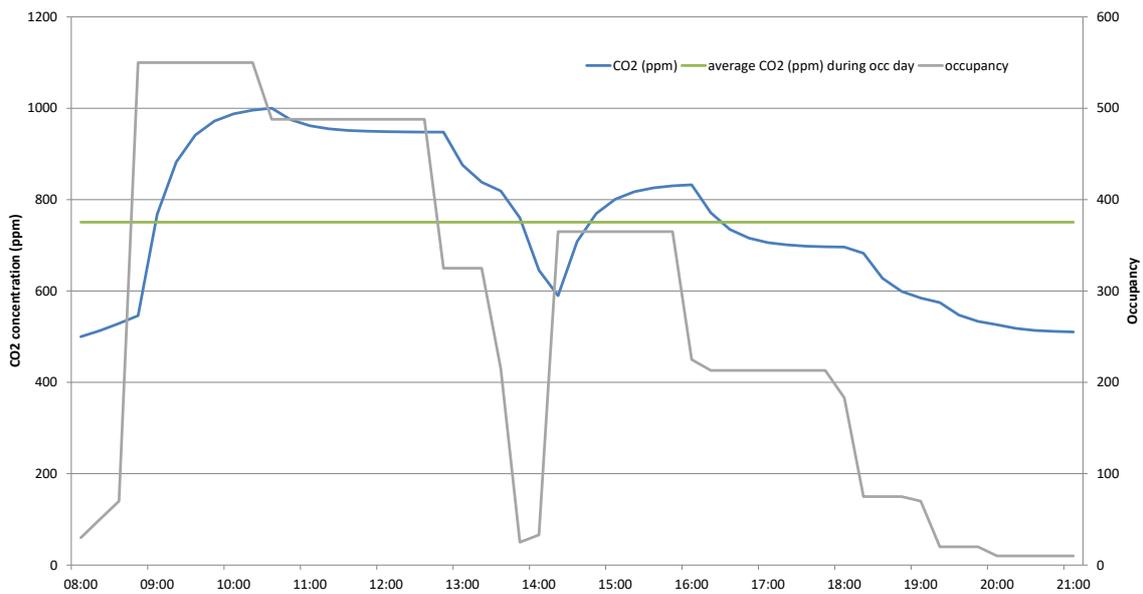


445 **Figure 10.** CO₂ concentration for daily operation of Building B with high occupancy profile and ACR at 3 Vol/h (9600 l/s)
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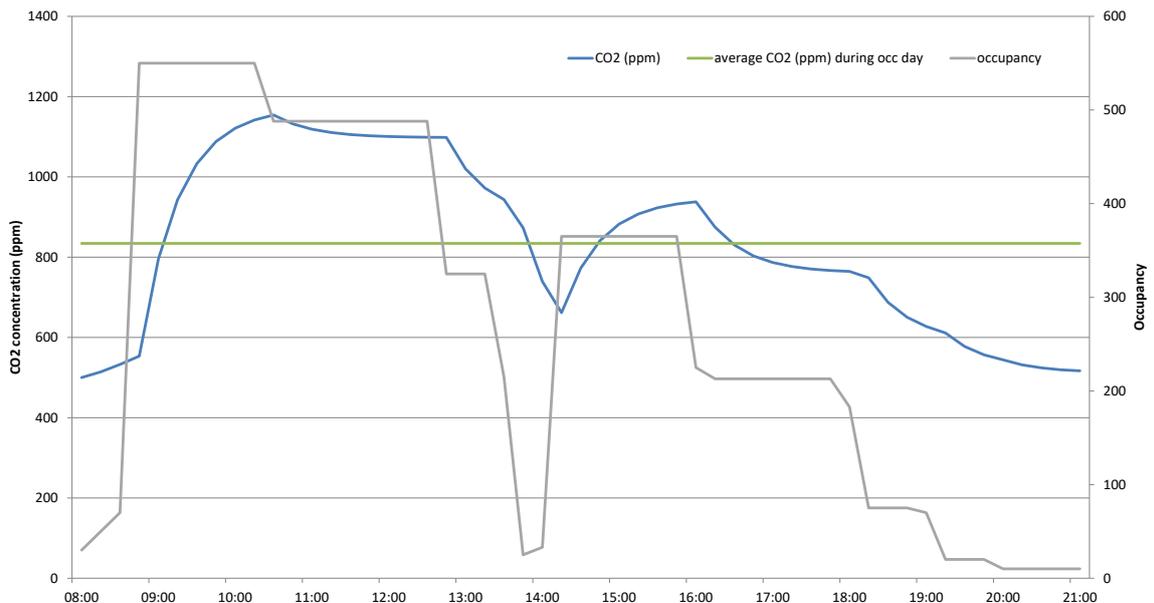


447 **Figure 11.** CO₂ concentration for daily operation of Building B at average occupancy (maximum of 560 persons) and ACR
 448 fixed at 3 Vol/h (9600 l/s)
 449
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451 In many hours of the year, the operation of the ventilation system at a fixed value of ACR of 3 Vol/h is oversized compared
 452 to the real needs. This involves an excessive reduction of CO₂ concentration level and of significant energy waste. A
 453 relevant energy saving could be avoided by directly linking the operation of the HVAC system with the number of
 454 occupants in the structure, today possible by connecting the operation of the HVAC system with opportune monitoring
 455 system. A correlation between the maximum permissible value of the CO₂ concentration and ACR can be estimated. Table
 456 8 provides some indicative values of ACR for occupant: the required volume that must be changed for each our and for
 457 each occupant is estimated. Obviously, if very low value of CO₂ is required (e.g. 700 ppm), as suggested by some recent
 458 medical guidelines consequent to COVID-19 pandemic, a relevant amount of air flow rate could be required, and this
 459 could be unsustainable.



460
 461 **Figure 12.** CO₂ concentration for daily operation of Building B at average occupancy ACR at 70% (2,1 Vol/h or 6950 l/s)



462
 463 **Figure 13.** CO₂ concentration for daily operation of Building B at average occupancy, ACR at 50% (1,5 Vol/h or 4800 l/s)
 464

465 **Table 8.** Estimated value of Air change rate for various CO₂ thresholds (data referred to the tested buildings)

CO ₂ threshold [ppm]	600	700	800	900	1000	1100	1200
ACR per occupant [m ³ /h]	280,0	137,5	90,5	66,75	52,75	43,25	36,4
ACRH required for Building B at full occupancy	35	17,2	11,3	8,35	6,57	5,30	4,55

466
 467 So it can be concluded that the use of the maximum ventilation rate determines a significant energy consumption,
 468 especially in quite intensive climatic conditions (either during winter in northern climate, or during summer in southern
 469 climates). The limitation of the threshold to 900-1000 ppm, value for which the infection risk remain low, permits a
 470 reduction of energy consumption of 45% in winter period and of 25-30% in a typical summer week. There is then the
 471 need for balancing the three aspects of IAQ, health issues, and energy conservation in buildings. This purpose can be
 472 achieved through the definition of a demand-controlled ventilation rate. Equation (8) can be used to derive the energy
 473 required for the winter ventilation for one hour of exposure (E_{v1h}) considering different occupancy levels. Considering a
 474 single case like the one of class B7, in a particular situation during winter, with indoor temperature set at 20°C, and
 475 outdoor temperature at 9°C, weighted average of the mean monthly temperatures in Pisa during the heating period. The
 476 energy consumption related to CO₂ concentration energy used for ventilation has been roughly derived for different
 477 occupancies and the results reported in Fig. 14. Maintaining CO₂ concentrations slightly above the outdoor concentration
 478 (e.g. 600-700 ppm), which are currently required, leads to a considerable increase in energy consumption, especially
 479 when high occupancy occur (more than 70% of the total), while considering the idea of maintaining an acceptable level
 480 at a value of 1000 ppm, permits a reduction of energy use up to three or four times, especially in cold weeks during winter
 481 or in hot weeks during summer time, when occupancy of the rooms is sometimes at a reduced level.

482 The structure operates about 315 days a year being open for 16 hours, from 8 to 24. It is closed in a period of about 4
 483 weeks during the summer, two weeks at Christmas and some spot days. In total, 315 operations (about 5060 hours) can
 484 be estimated. The main educational activities take place in the period between 8:30 and 19, while from 19 to 24 the
 485 structure operates as a study space for students. Only for a small number of hours is the occupation of the structure
 486 characterized by an occupation higher than 70% compared to the maximum (about 900) and only 1500 are characterized
 487 by an occupation higher than 50%. This means that over 3500 hours are characterized by occupations less than 50% of
 488 the maximum capacity and this makes the savings that can be obtained by checking the operation of the HVAC system
 489 directly correlated with the real occupation rather significant, as discussed in [31].

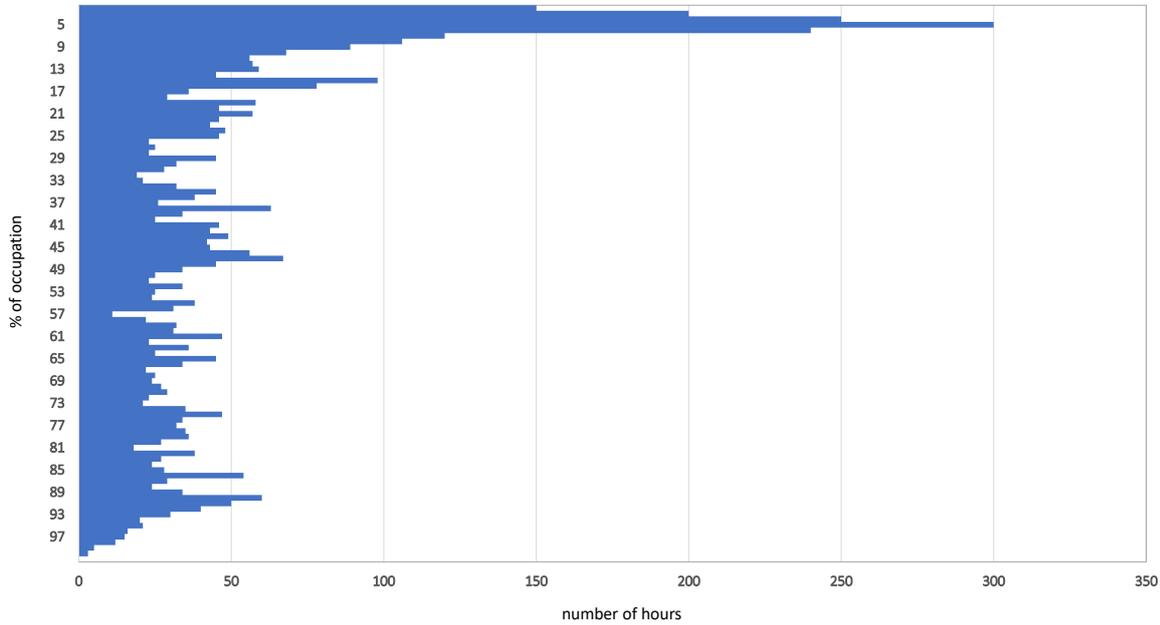
490 Table 10 provides an estimate of the energy consumption related to the operation of the system in typical summer or
 491 winter conditions in relation to different climatic conditions providing an estimate of the energy consumption related to
 492 the operation of the HVAC system in some typical operating conditions of the building B, considering a typical full load
 493 operation of the MV (ACR of 3 Vol/h) is typical environmental conditions. The analysis of the data in table 10 makes it
 494 clear that energy consumption associated with ventilation is necessary to maintain indoor air quality and ensure occupant
 495 health and comfort. However, when ventilation is combined with air conditioning, the energy consumption can increase
 496 significantly. HVAC systems that operate without considering the real needs and occupancy patterns can lead to wasted
 497 energy and increased costs. Therefore, it is important to implement HVAC systems that are designed to match the specific
 498 needs and occupancy patterns of the building, as well as regularly monitor and adjust the systems to optimize energy
 499 efficiency while maintaining occupant comfort and health.

500

501

Table 9. Clustering of occupancy share for building B during one year of activity

Occupancy	70-100%	50-70%	30-50%	10-30%	Less than 10%
Number of hours	894	577	788	986	1815
Share of total (5060)	17,66	11,40	15,58	19,49	35,87



502
503

Figure 14. Typical occupation profile of building B during one year of operation

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505

Table 10. Estimated energy use for building B during for one hour of operation in specific conditions

Condition	T _{ext}	RH _{ext}	T _{dist}	RH _{set-point}	Energy required for 1 hour for ventilation	Energy required for 1 hour for climatization (sensible heat and latent heat)	Energy required for 1 hour of operation
Winter day	5	80	22	Not controlled	13,0 kWh	194,0 kWh	207 kWh
Winter day	10	50	22	Not controlled	12,8 kWh	137,5 kWh	150,3 kWh
Mid-season day	18	60	Not operating		12,5 kWh		12,5 kWh
Summer day	30	60	24	60	11,9 kWh	133,0 kWh	144,9 kWh
Summer day	35	80	24	60	11,7 kWh	568,5 kWh	580,2 kWh

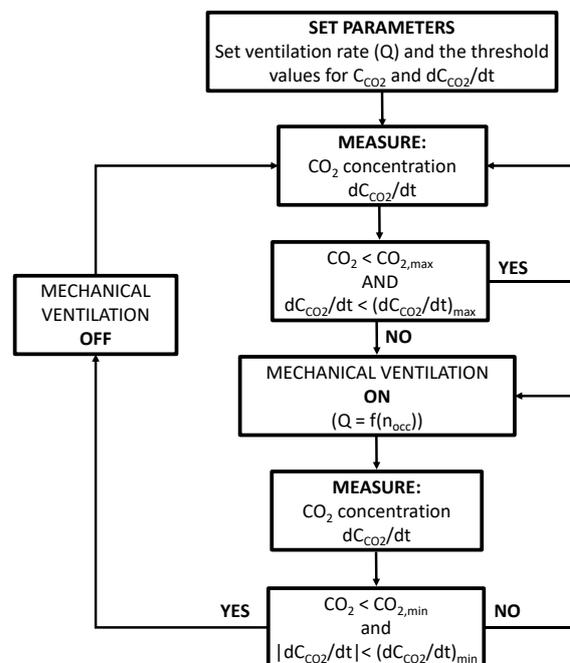
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8. Definition of occupant-centric control approaches for an optimized DCV strategy

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The increased monitoring capability offered by ubiquitous sensors interconnected in IoT networks [32, 33], as well as the availability of massive amounts of historical data, represent the key enabler for the development of occupant-centric HVAC control and operation in the short term. Such strategies, which often go under the name of Occupant Centric Control (OCC) systems, have the purpose to ensure the fulfillment of health or comfort requirements, tailoring energy consumption on the specific needs of occupants' behaviors. One of the most straightforward applications of such OCC techniques, is the design of ventilation strategies based on actual occupancy, which as largely argued in the present manuscript, allows one to match the desired IAQ with minimum energy consumption, avoiding useless overventilation of the rooms, and energy wastes in general. Hereafter, we consider a possible control strategy for the ventilation system

517 that is based on the monitoring of two control variables, namely, CO₂ concentration and its derivative over time, under
 518 the assumption that the ventilation system can be only operated in on/off mode (i.e., it is either switched on or switched
 519 off, while intermediate ventilation rates are not allowed). This last assumption is typical of many Italian public buildings.
 520 Fig. 15 shows a possible framework of the proposed strategy, that combines NV and MV based on the real occupancy.
 521 This represents a “relay-based” control system, which is a type of feedback control system that operates based on
 522 predefined thresholds. In this case, the CO₂ concentration and its derivative are the input signals that determine whether
 523 the ventilation system should be switched on or off. The maximum and minimum values of the thresholds are set based
 524 on the desired IAQ and energy consumption objectives. For example, the maximum threshold may be set to a value that
 525 ensures IAQ is maintained within a safe range, while the minimum threshold may be set to a value that reduces energy
 526 consumption during periods of low occupancy. Overall, this type of control system allows for more efficient operation of
 527 the ventilation system by only activating it when necessary and turning it off when the IAQ has improved. This can result
 528 in significant energy savings while maintaining a healthy indoor environment. In particular, the minimum and the
 529 maximum of the variables ($C_{\{CO_2\},min}$ and $C_{\{CO_2\},max}$, $(dC_{\{CO_2\}}/dt)_{min}$ and $(dC_{\{CO_2\}}/dt)_{max}$) should be set taking into account
 530 the expected number of occupants, the room volume, the type of activity in the room, and the expected exposure time.
 531 The ventilation rate (Q) may be also set as a function of the number of occupants, when possible, also in relation to the
 532 energy issues previously defined. Then, the controller is initialized when the mechanical ventilation is still off, and a CO₂
 533 sensor measures the CO₂ concentration value and its increase over time. It is recommended to set a monitoring frequency
 534 of 1-5 minutes to assess rapid variations in CO₂ concentration and to evaluate the increase over time every 5 minutes, to
 535 prevent possible outliers from impairing the control system. As previously stated, an HVAC system based on an on-off
 536 control was considered as it is very popular, and it was the one available for the case study, but similar strategies may be
 537 also used on a DCV system which controls the flow rate as a function of the number of people and CO₂ trend.



538 **Figure 15.** Framework of the proposed multi-objective ventilation strategy.
 539

540 Furthermore, this method allows for controlling indoor air quality and health management decreasing energy waste due
541 to overuse of mechanical ventilation. Under certain conditions, natural ventilation could also be used to reduce
542 consumption by supplementing mechanical one. In any case, it seems essential to define strategies for optimal control of
543 the operation of HVAC systems, which can be directly correlated with real occupancy data, so as not to determine
544 unhealthy environmental conditions, while avoiding energy waste as much as possible.

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9. Conclusions

548 The paper deals on an analysis of the operation of the HVAC systems for public shared buildings, considering in relation
549 to the recent rules for the mitigation of the risk of transmission of viruses and other pathogenic agents. The problem is
550 solved quite effectively by running the ventilation systems at maximum. This leads to rather significant energy
551 consumption, especially when heating or cooling of the air is also required. A very effective method could be to control
552 the operation of the HVAC system using CO₂ detection sensors, making the connection between the operation of the
553 HVAC system and the actual presence of people inside the rooms, defining a kind of “occupant centric” based model.

554 From the analysis of the present paper, it is possible to conclude that:

- 555 - the level of hourly exchanges established by the common regulations, which impose values between 5 and 7
556 l/s per person, or in any case exchange values in the range between 3 Vol/h and 6 Vol/h in conditions of high
557 occupancy of buildings, seem to be appropriate for maintaining environmental health and comfort;
- 558 - the design ventilation flow rates are instead absolutely oversized when the occupation is less than 50% of the
559 maximum and this can lead to significant energy waste, especially in extreme climatic conditions;
- 560 - the energy waste determined by the request of maintaining a fixed ACR can be relevant especially in some
561 specific days of winter and summer and that “occupant centric” HVAC control strategies, based on direct or
562 indirect detection methods are paramount.

563 The paper finally proposes a system control based on the measurement of CO₂ concentration to address the issues of
564 IAQ, health, and energy consumption. By considering the level of occupancy in the spaces, the proposed system control
565 could result in significant energy savings, especially in cases of reduced occupancy, up to 50% in cases of intermediate
566 occupancy levels. The paper also presents real-world examples from two teaching structures at the University of Pisa.

567 In particular, the air quality is guaranteed through a fixed absolute value of CO₂ concentration, while health is ensured
568 thanks to the relationship found between the increase of CO₂ concentration over time ($dC_{\{CO_2\}}/dt$).

569 By ensuring air quality through a fixed absolute value of CO₂ concentration and health through the relationship between
570 the increase of CO₂ concentration over time, HVAC systems can be used more efficiently, resulting in reduced energy
571 wastes. The test conducted in a typical University building showed that limiting the threshold at values of 800-900 ppm
572 can result in a significant reduction of energy consumption of 45-50% in winter and 25-30% in a typical summer week.

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